

Income Tax History.

1. Please state the type of federal and state tax returns you have filed during the relevant time period, including whether your personal return was joint or single and any business returns.
2. If you filed a joint return, please provide the identity of the cosigner.
3. Please provide the name and address of the person who prepares your federal and state tax returns.
4. Please state whether your personal and business tax returns have been and are filed on the due date or on an extension date.
5. Please identify the countries and states where your taxes are paid.
6. Please state whether you have ever failed to file a required tax return for any year and the year of that occurrence.
7. Please state the current amount of any prepaid taxes that you are required to pay.
8. Do you have any income tax refunds owed to you?
9. If your answer to the preceding interrogatory is in the affirmative, please state the amount owed to you, the name(s) of the payee(s), and when the payment is expected.
10. Do you plan to use any refund payment as a prepayment against future tax owed?
11. Have you ever been involved in any form or type of tax audit?
12. If your answer to the preceding interrogatory is in the affirmative, please provide the following information:
 - a. Whether you were audited for a personal or business tax filing,
 - b. The date and location of the audit,
 - c. The name of the auditor,
 - d. The reasons for the audit, if not random,
 - e. The result of the audit,
 - f. Any jail sentence received, or fines and penalties charged,
 - g. The current outstanding balance of tax fines or penalties.
13. Have you ever participated in or invested in any type of tax shelter?
14. If your answer to the preceding interrogatory is in the affirmative, please provide the following information:
 - a. A description of your income shelters through personal investments (i.e. limited partnerships),
 - b. A description of your income shelters through employer-provided programs (i.e. Keough plans),
 - c. Descriptions of all your tax-exempt investments (i.e. municipal bonds).

Employee Benefits.

1. Please provide information about all profit sharing plans in which you have participated or currently participate, including:
 - a. A description of the plan,
 - b. The name of the participant,
 - c. The name, address and telephone number of the plan's administrator,
 - d. A description of eligibility for the plan,

- e. The named beneficiaries of your plan,
 - f. Whether distributions from the plan are deferrable.
2. Please provide information on whether you are currently deferring distributions, or have in the past deferred distributions, including:
 - a. The amount currently or previously deferred,
 - b. The penalties for early withdrawal of deferred funds, including IRS and employer-related penalties,
 - c. Restrictions on withdrawal of deferred distributions.
3. Please provide information about all health insurance plans in which you participate, including:
 - a. The name of the participating employee,
 - b. The name and address of the health insurance company or provider,
 - c. The name, address and telephone number of the plan administrator,
 - d. A description of the plan's coverage,
 - e. The named beneficiaries of your coverage,
 - f. The monthly amount your employer contributes to the plan,
 - g. The monthly amount you contribute to the plan,
 - h. Any limitations on coverage for your beneficiaries.
4. Please provide information about your vacation benefits, including:
 - a. How much vacation you are awarded annually,
 - b. Whether or not you are allowed to bank vacation time,
 - c. Whether or not payment will be made to you for unused vacation time,
 - d. The amount of vacation time currently owed to you and its cash value.
5. Please provide information about business vehicles and transportation provided to you, including:
 - a. The eligibility requirements for use of vehicles and transportation,
 - b. The contribution you make to the cost of these vehicles or transportation services,
 - c. Whether you receive complimentary air travel,
 - d. Whether you or your employer own your frequent flyer mileage earned during business flights,
 - e. The current balance(s) of your frequent flyer membership(s),
 - f. Limitations on transferability of the mileage credits.
6. Please provide a description of the types of vehicles and transportation provided to you, including:
 - a. Company car,
 - b. Limousine service,
 - c. Airplanes or helicopters,
 - d. Other options.

7. Please provide information about housing benefits provided to you, including:
 - a. The eligibility requirements for use of housing,
 - b. Whether you receive complimentary or subsidized primary housing,
 - c. Whether you receive complimentary or subsidized vacation housing,
 - d. Whether you have access to company apartments for use in various locations,
 - e. Whether you have access to company hotel rooms or suites in various locations.
8. Please provide information about education reimbursements provided to you, including:
 - a. The eligibility requirements for such reimbursement,
 - b. The type of schooling covered in the plan,
 - c. The percentage of the cost the plan covers.
9. Please provide information on club and association memberships provided to you, including:
 - a. The names and addresses of eligible clubs and associations,
 - b. The eligibility requirements for membership,
 - c. What percentage of the cost the employer contributes,
 - d. The cost to you,
 - e. Whether each membership includes your family members.
10. Please provide information on any life insurance provided to you as a part of employment, including:
 - a. The designated beneficiary,
 - b. Value and type of policy,
 - c. Whether the policy is a vested benefit.
11. Please provide information on all other business benefits provided to you, including food, discounts and parking:
 - a. A description of each benefit,
 - b. The eligibility requirements for each benefit,
 - c. The amount and method of payment if the benefit involves a cash or cash equivalent benefit.

Pensions and Retirement Funds.

1. Please provide information about each retirement plan in which you participate, including:
 - a. A description of each plan, including whether it is an IRA, an ERISA, a Keough, a profit sharing plan, or of another variety,
 - b. The amount your employer contributes and has contributed,
 - c. The amount you contribute and have contributed,
 - d. The name, address and telephone number of the plan administrator.

2. Please provide information on the current balance of each retirement plan, including:
 - a. Whether you are vested in the plan, and to what percentage of the balance,
 - b. When the funds are available for your use,
 - c. Any penalties imposed for early use of the funds, including IRS or employer-related penalties.
3. Please provide information about employer-provided income deferral plans in which you participate, including:
 - a. A description of each plan,
 - b. The amount your employer contributes and has contributed,
 - c. The amount you contribute and have contributed, including the maximum percentage of your salary which is deferrable,
 - d. The name, address and telephone number of the plan administrator.
4. Please give information on the current balance of each deferral plan, including:
 - a. When the funds are available for your use,
 - b. Any penalties imposed for early use of the funds, including IRS or employer-related penalties.
5. Please provide information about each commercially available income deferral plan in which you participate, including:
 - a. A description of each plan,
 - b. The name and address of the institution where the account was opened,
 - c. The name, address and telephone number of the plan administrator,
 - d. The amount and regularity of your contributions to the plan.
6. Please give information on the current balance of each plan, including:
 - a. When the funds are available for your use,
 - b. What uses are permitted for the early withdrawal of funds,
 - c. Any penalties imposed for early use of the funds, including IRS or employer-related penalties.
7. Please provide information about each special or unique retirement or income deferral plan in which you participate, whether you have any unusual deferred payment component to your compensation package, including:
 - a. A description of the deferred compensation arrangement,
 - b. Where the deferred income is invested or held,
 - c. The amount of your salary that you defer in this method,
 - d. The name, address and telephone number of the administrator of this arrangement,
 - e. The current cash value of this deferred income,
 - f. When the funds are available for your use,

- g. Any penalties imposed for early withdrawal of these funds, including employer-related penalties and IRS-related penalties.
8. Please state whether you hold any stock, stock option or investment which is convertible upon retirement, including:
- A description of the deferred compensation arrangement,
 - Where the deferred right is invested or held,
 - The amount of your salary that you defer by this method,
 - The name, address and telephone number of the administrator of this arrangement,
 - The current cash value of this deferred right,
 - When the funds are available for your use,
 - Any penalties imposed for early withdrawal of these funds.
9. Please state whether you have any other compensation deferral or retirement arrangement which have future cash value, including:
- A description of each deferred compensation arrangement,
 - Where the deferred income is invested or held,
 - The amount of your salary that you defer in this method,
 - The name, address and telephone number of the administrator of this arrangement,
 - The current cash value of this deferred income,
 - When the funds are available for your use,
 - Any penalties imposed for early withdrawal of these funds.
10. Please state whether you have any compensation deferral arrangement which involves real property, including:
- A description of the deferred compensation arrangement,
 - A description of the property,
 - The current owner of the property,
 - The current market value of the property and the source and method of the valuation,
 - When you are eligible to own or convert the property.
11. Please state whether you have any other compensation deferral arrangement which involves personal property, including:
- A description of the deferred compensation arrangement,
 - A description of the property,
 - The current owner of the property,
 - The current value of the property and the source of the valuation,
 - When you are eligible to own or convert the property.
12. Please identify all your pension, deferred income, retirement, or other such funds which provide the option of designating a beneficiary and the name of any such designated beneficiary.

13. Please identify any pension, deferred income, retirement or other such funds which allow early withdrawals or borrowing against the principal.
14. Please state whether you have borrowed against any pension, deferred income, retirement, or other such funds, including the date of borrowing, the amount borrowed, and any amounts repaid.

Bonus and Incentive Plans.

1. Please provide information about any bonus plan in which you have or currently participate, including:
 - a. A description of the bonus arrangement,
 - b. The form of the bonus payment,
 - c. The amount of previous bonuses you have earned,
 - d. The amount of future bonuses expected,
 - e. When the bonuses are paid,
 - f. Whether the bonuses are automatic,
 - g. A description of the employee action required to receive bonus payment,
 - h. Any limitations on the amounts of bonuses earnable.
2. Please state whether your bonus payments are deferrable, including:
 - a. A description of the deferral method,
 - b. The form of the bonus payment,
 - c. The amount of previous bonuses you have deferred,
 - d. The amount of future bonuses expected to be deferred,
 - e. When the deferred bonuses may be withdrawn,
 - f. Penalties for early use of deferred bonuses,
 - g. Current cash value of deferred bonuses,
 - h. Amount of deferred bonuses withdrawn to date.
3. Please provide information about any incentive plans in which you participate, including whether your compensation package includes incentives:
 - a. A description of cash incentives,
 - b. A description of prize incentives,
 - c. A description of travel incentives,
 - d. How the incentives are earned,
 - e. When the incentives are paid or received,
 - f. A description of any previous incentive awards earned,
 - g. A description of future incentive awards expected,
 - h. Any limitations on the amounts of incentives earnable.
4. Please state whether any of your incentive awards or payments are deferrable, including:
 - a. A description of the deferral method,
 - b. A description of previous incentives you have deferred,
 - c. A description of future incentives expected to be deferred,

- d. When the deferred incentives may be withdrawn or used,
 - e. Penalties for early use of deferred incentives,
 - f. Current cash value of deferred incentives,
 - g. Amount of deferred incentives withdrawn to date.
5. Please indicate whether you have been given or given any such bonus or incentive award, and if so, the amount given, the recipient and the date of the gift.

Real Property Owned.

1. Please provide a description including location of all property interests including land you own or in which you have any ownership interest.
2. For each property interest identified in the preceding interrogatory, please provide:
 - a. The names of the owners on the title and the respective percentages owned,
 - b. A description of the type of land,
 - c. The address of the land,
 - d. The acreage owned.
3. Please state the current market value of your property interests including land and the source and method of each valuation.
4. Please state the date your land was purchased, the source of funds used to purchase the land, and the land's purchase price.
5. Please describe the potential uses for your land including zoning applicable to the land, a description of the land's suitability for future development, any restrictions on development of the land, any plans you or others have to develop the land, and a description of other land uses in the area.
6. Please give a description of any outstanding debt on your land, including the lender's name and address, the amount originally borrowed, and the amount currently outstanding.
7. Please give a description of any improvements to your land, including cost of the improvements and the sources of funds for the improvements.
8. Please give a description of any liens on your property, including who holds the lien, the court which placed the lien, the amount of the lien, and the reasons for the lien.
9. Please give a description of the taxes on your land, including school tax, water and sewer taxes, and municipal taxes.
10. Please state whether tax payments are current and if not, the amount of the tax arrearage on your land.
11. Do you receive any income from any of the above identified property?
12. If your answer to the preceding interrogatory is in the affirmative, please state the amount received annually and the source of each such payment.

Primary Residence Owned.

1. Do you have any ownership interest in your primary residence?
2. If your answer to the preceding interrogatory is in the affirmative, please state:
 - a. The names of the owners on the title and the respective percentages owned,
 - b. A description of the residence,

- c. The address,
 - d. The acreage included.
3. Please state the current market value of your primary residence and the source and method of the valuation.
 4. Please state the date your primary residence was purchased, the source of funds used to purchase the residence, and the residence's purchase price.
 5. Please describe any potential alternate uses for your primary residence including zoning applicable to the land, a description of the site's suitability for future development, any restrictions on development of the property, any plans you or others have to change the use of the property, and a description of the use of other property in the area.
 6. Please give a description of any outstanding debt on your primary residence, including the lender's name and address, the amount originally borrowed, and the amount currently outstanding.
 7. Please give a description of any improvements to your primary residence, including cost of the improvements and the sources of funds for the improvements.
 8. Please give a description of any liens on your primary property, including who holds the lien, the court which placed the lien, the amount of the lien, and the reasons for the lien.
 9. Please give a description of the taxes on your primary residence, including school tax, water and sewer taxes, and municipal taxes.
 10. Please state whether your tax payments on your primary residence are current and if not, the amount of the tax arrearage.

Secondary and Vacation Residences Owned.

1. Do you have any ownership interest in any secondary or vacation residence?
2. If your answer to the preceding interrogatory is in the affirmative, please state:
 - a. The names of the owners on the title and the respective percentages owned,
 - b. A description of each such residence,
 - c. The address(es),
 - d. The acreage included in each.
3. Please state the current market value of each such residence and the source and method of the valuation.
4. Please state the date each such residence was purchased, the source of funds used to purchase the residence, and the residence's purchase price.
5. Please describe any potential alternate uses for each such residence including zoning applicable to the land, a description of the site's suitability for future development, any restrictions on development of the property, any plans you or others have to change the use of the property, and a description of the use of other property in the area.
6. Please give a description of any outstanding debt on each such residence, including the lender's name and address, the amount originally borrowed, and the amount currently outstanding.
7. Please give a description of any improvements to each such residence, including cost of the improvements and the sources of funds for the improvements.

8. Please give a description of any lien on each such residence, including who holds the lien, the court which placed the lien, the amount of the lien, and the reasons for the lien.
9. Please give a description of the taxes on each such residence, including school tax, water and sewer taxes, and municipal taxes.
10. Please state whether tax payments are current and if not, the amount of the tax arrearage.
11. Please state whether you receive any rental income from any secondary and vacation residence in which you have an ownership interest, and if so, give details.

Rental Property Owned.

1. Do you have an ownership interest in any rental property?
2. If your answer to the preceding interrogatory is in the affirmative, please state:
 - a. The names of the owners on the title and the respective percentages owned,
 - b. A description of each such property,
 - c. The address(es),
 - d. The acreage included in each,
 - e. The type and use for each such property.
3. Please state the current market value of each such property and the source and method of the valuation.
4. Please state the date each such property was purchased, the source of funds used to purchase the property, and the property's purchase price.
5. Please describe any potential alternate uses for each such property including zoning applicable to the land, a description of the site's suitability for future development, any restrictions on development of the property, any plans you or others have to change the use of the property, and a description of the use of other property in the area.
6. Please give a description of any outstanding debt on each such property, including the lender's name and address, the amount originally borrowed, and the amount currently outstanding.
7. Please give a description of any improvement to each such property, including cost of the improvements and the sources of funds for the improvements.
8. Please give a description of any lien on each such property, including who holds the lien, the court which placed the lien, the amount of the lien, and the reasons for the lien.
9. Please give a description of the taxes on each such property, including school tax, water and sewer taxes, and municipal taxes.
10. Please state whether tax payments are current and if not, the amount of the tax arrearage.
11. Please state the amount of income you receive annually from each rental property in which you have an ownership interest.
12. Please provide the current lessee's name and address and describe his or her current use of each rental property in which you have an ownership interest.

Commercial Property Owned.

1. Do you possess any ownership interest in any commercial property?
2. If your answer to the preceding interrogatory is in the affirmative, please state:
 - a. The names of the owners on the title and the respective percentages owned,
 - b. A description of each such property,
 - c. The address(es) of each above identified property,
 - d. The acreage included in each,
 - e. The type and use for each such property.
3. Please state the current market value of each such property and the source and method of the valuation.
4. Please state the date each such property was purchased, the source of funds used to purchase the property, and the property's purchase price.
5. Please describe any potential alternate uses for each such property including zoning applicable to the land, a description of the site's suitability for future development, any restrictions on development of the property, any plans you or others have to change the use of the property, and a description of the use of other property in the area.
6. Please give a description of any outstanding debt on each such property, including the lender's name and address, the amount originally borrowed, and the amount currently outstanding.
7. Please give a description of any improvements to each such property, including cost of any improvement and the sources of funds for the improvements.
8. Please give a description of any lien on each such property, including who holds the lien, the court which placed the lien, the amount of the lien, and the reasons for the lien.
9. Please give a description of the taxes on each such property, including school tax, water and sewer taxes, and municipal taxes.
10. Please state whether tax payments are current and if not, the amount of the tax arrearage.
11. Please state the amount of income you receive annually from each commercial property in which you have an ownership interest.
12. Please provide the current lessee's name and address, a description of the lease terms, and his or her current use for each commercial property in which you have an ownership interest.
13. Do you occupy or use any of the commercial property in which you have an ownership interest?
14. If your answer to the preceding interrogatory is in the affirmative, please provide a description of your use, the location of such property, and the compensation paid for such use.

Investment Property.

1. Do you possess an ownership interest in any investment property?
2. If your answer to the preceding interrogatory is in the affirmative, please state:
 - a. The names of the owners on the title and the respective percentages owned,
 - b. A description of each such property,

- c. The address(es) of each above identified property,
 - d. The acreage included in each,
 - e. The type and use for each such property,
 - f. The improvements on each such property,
 - g. Whether the property is devoted to commercial or residential use.
3. Please state the current market value of each such property and the source and method of the valuation.
 4. Please state the date each such property was purchased, the source of funds used to purchase the property, and the property's purchase price.
 5. Please describe any potential alternate uses for each such property including zoning applicable to the land, a description of the site's suitability for future development, any restrictions on development of the property, any plans you or others have to change the use of the property, and a description of the use of other property in the area.
 6. Please give a description of any outstanding debt on each such property, including the lender's name and address, the amount originally borrowed, and the amount currently outstanding.
 7. Please give a description of any improvement to each such property, including cost of the improvements and the sources of funds for the improvements.
 8. Please give a description of any lien on each such property, including who holds the lien, the court which placed the lien, the amount of the lien, and the reasons for the lien.
 9. Please give a description of the taxes on each such property, including school tax, water and sewer taxes, and municipal taxes.
 10. Please state whether tax payments are current and if not, the amount of the tax arrearage.
 11. Please state the amount of income you receive annually from each investment property in which you have an ownership interest.
 12. Do you occupy or use any of the investment property in which you have an ownership interest?
 13. If your answer to the preceding interrogatory is in the affirmative, please provide a description of your use, the location of such property, and the compensation paid for such use.